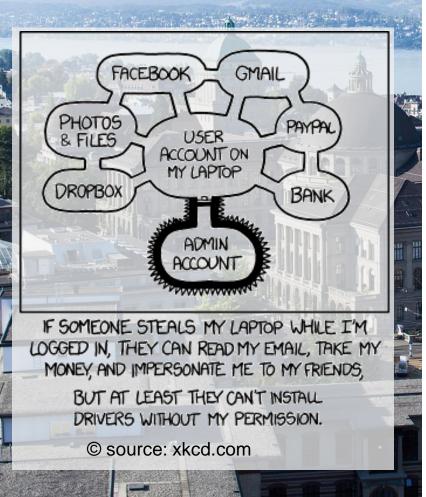
ADRIAN PERRIG & TORSTEN HOEFLER

Networks and Operating Systems (252-0062-00)

Chapter 2: Processes







Another privilege-escalation vulnerability has been discovered in Linux kernel that dates back to 2005 and affects major distro of the Linux operating system, including Redhat, Debian, OpenSUSE, and Ubuntu.

Over a decade old Linux Kernel bug (CVE-2017-6074) has been discovered by security researcher Andrey Konovalov in the DCCP (Datagram Congestion Control Protocol) implementation using Syzkaller, a kernel fuzzing tool released by Google.

The vulnerability is a use-after-free flaw in the way the Linux kernel's "DCCP protocol implementation freed SKB (socket buffer) resources for a DCCP_PKT_REQUEST packet when the IPV6_RECVPKTINFO option is set on the socket."



Last time: introduction

- Introduction: Why?
- Roles of the OS
 - Referee
 - Illusionist
 - Glue
- Structure of an OS

Setting the date to 1 January 1970 will brick your iPhone, iPad or iPod touch

February 12, 2016

Date bug will prevent 64-bit iOS devices from booting up, rendering them inoperable even through fail-safe restore methods using iTunes



Date bug bricks iPhones locking them in a boot loop if turned off. Photograph: Alvy / Microsiervos/Flickr

Manually setting the date of your iPhone or iPad to 1 January 1970, or tricking your friends into doing it, will cause it to get permanently stuck while trying to boot back up if it's switched off.

The bug within Apple's date and time settings within <u>iOS</u> causes such an issue that users are reporting that the fail-safe restore techniques using iTunes are not able to repair the problem.

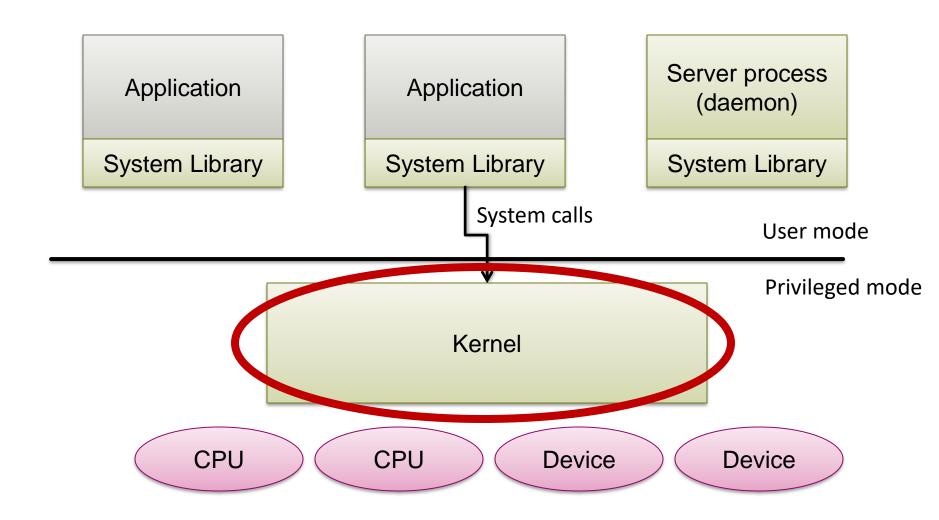


This time

- Entering and exiting the kernel
- Process concepts and lifecycle
- Context switching
- Process creation
- Kernel threads
- Kernel architecture
- System calls in more detail
- User-space threads



General OS structure





Kernel

- That part of the OS which runs in privileged mode
 - Large part of Unix and Windows (except libraries)
 - Small part of L4, Barrelfish, etc. (microkernels)
 - Does not exist in some embedded systems

Also known as:

Nucleus, nub, supervisor, ...

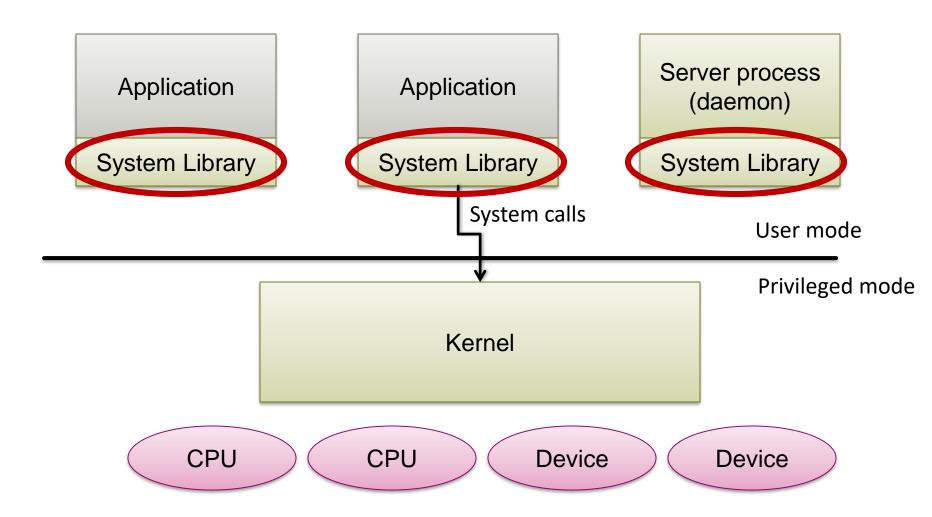


The kernel is a program!

- Kernel is just a (special) computer program.
- Typically an event-driven server.
- Responds to multiple entry points:
 - System calls
 - Hardware interrupts
 - Program traps
- May also include internal threads.



General OS structure





System Libraries

Convenience functions

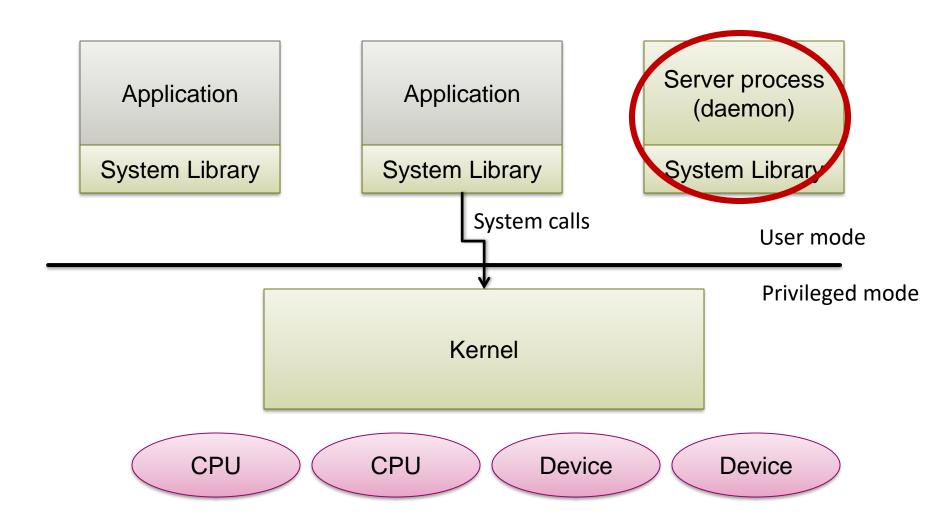
- printf(), etc.
- Common functionality

System call wrappers

- Create and execute system calls from high-level languages
- See 'man syscalls' on Linux



General OS structure





Daemons

- Processes which are part of the OS
 - Microkernels: most of the OS
 - Linux: increasingly large quantity
- Advantages:
 - Modularity, fault tolerance
 - Easier to schedule...



Entering and exiting the kernel



When is the kernel entered?

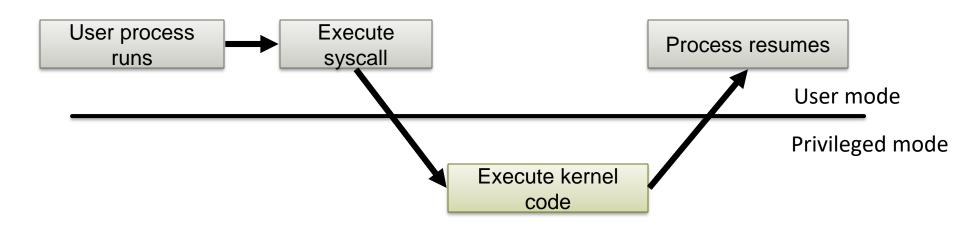
- System startup and ...
- Exception (aka. trap): caused by user program
- Interrupt: caused by "something else"
- System calls
- Exception vs. Interrupt vs. System call (analog technology quiz, raise hand)
 - Division by zero
 - Fork
 - Incoming network packet
 - Segmentation violation
 - Read
 - Keyboard input





Recall: System Calls

- RPC to the kernel
- Kernel is a series of syscall event handlers
- Mechanism is hardware-dependent





System call arguments

Syscalls are the way a program requests services from the kernel.

Implementation varies:

- Passed in processor registers
- Stored in memory (address (pointer) in register)
- Pushed on the stack
- System library (libc) wraps as a C function
- Kernel code wraps handler as C call



When is the kernel exited?

- Creating a new process
 - Including startup
- Resuming a process after a trap
 - Exception, interrupt or system call
- User-level upcall
 - Much like an interrupt, but to user-level
- Switching to another process

Processes



Process concept

- Q: What is the relation between a process and a program?
 - A process is the execution of a program with restricted rights.
- Virtual machine, of sorts
- On older systems:
 - Single dedicated processor
 - Single address space
 - System calls for OS functions
- In software: computer system = (kernel + processes)

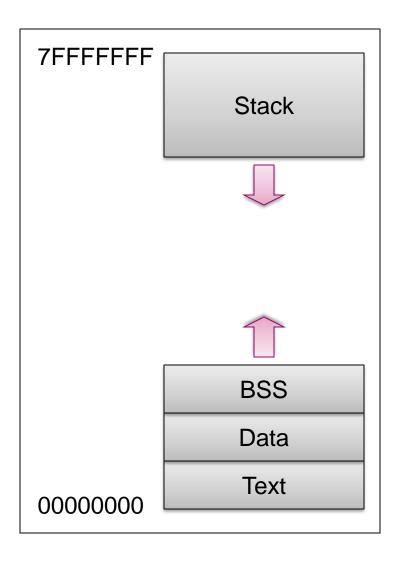


Process ingredients

- Virtual processor
 - Address space
 - Registers
 - Instruction pointer / program counter
- Program text (object code)
- Program data (static, heap, stack)
- OS "stuff":
 - Open files, sockets, CPU share,
 - Security rights, etc.



Process address space

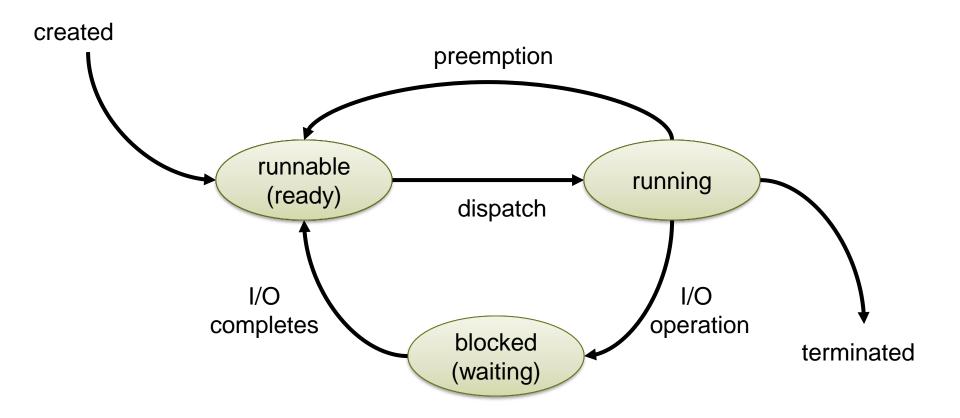


Should look familiar ...

(addresses are examples: some machines used the top address bit to indicate kernel mode)



Process lifecycle





Multiplexing

- OS time-division multiplexes processes
 - Or space-division on multiprocessors
- Each process has a Process Control Block (PCB)
 - In-kernel data structure
 - Holds all virtual processor state
 Identifier and/or name

Registers

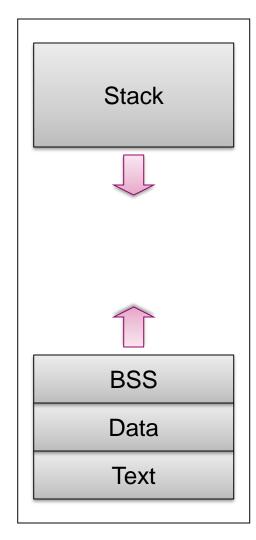
Memory used, pointer to page table

Files and sockets open, etc.



Process control block

Process address space



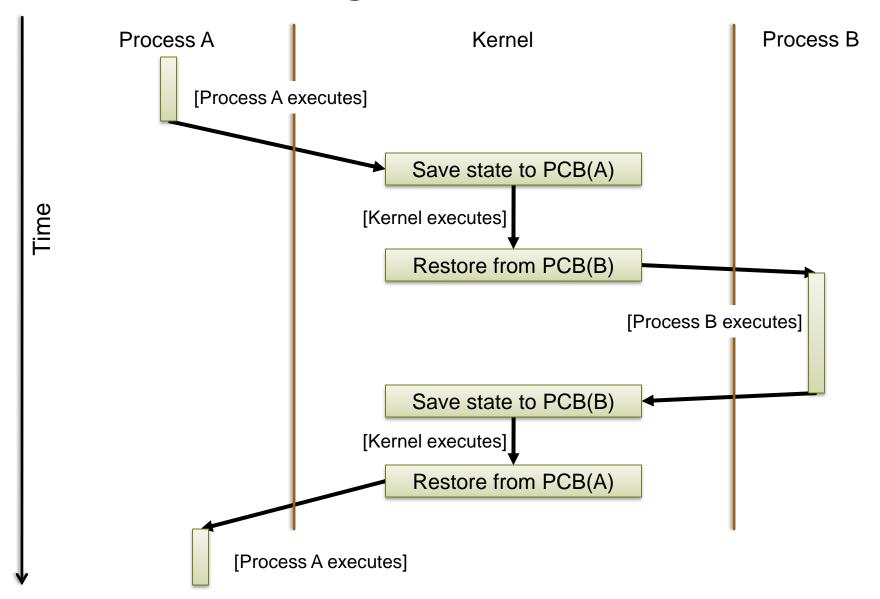
kernel memory

(other kernel data structures)

Process Control Block



Process switching



Process Creation



Process creation

- Bootstrapping problem. Need:
 - Code to run
 - Memory to run it in
 - Basic I/O set up (so you can talk to it)
 - Way to refer to the process
- Typically, "spawn" system call takes enough arguments to construct, from scratch, a new process.



Process creation on Windows

```
Did it work?
BOOL CreateProcess (
  in_opt
                LPCTSTR
                                ApplicationName,
                                CommandLine,
  inout opt
               LPTSTR
               LPSECURITY ATTRIBUTES ProcessAttributes,
  in opt
  in opt
               LPSECURITY ATTRIBUTES ThreadAttributes,
                                                             What rights
               BOOL
                                InheritHandles,
  in
                                CreationFlags,
  in
               DWORD
  in opt
                                Environment,
                LPVOID
  in opt
                LPCTSTR
                                CurrentDirectory,
                                                     What will it see
                                                     when it starts up?
  in
               LPSTARTUPINFO
                                StartupInfo,
  out
               LPPROCESS INFORMATION ProcessInformation
);
```

Moral: the parameter space is large!



Unix fork() and exec()

Dramatically simplifies creating processes:

- 1. fork(): creates "child" copy of calling process
- 2. exec(): replaces text of calling process with a new program
- 3. There is no "CreateProcess(...)".

Unix is entirely constructed as a family tree of such processes.



Unix as a process tree

0 1 1 1 7 - 1 Ss 0 0:01 /sbin/init 1 437 436 436 ? -1 S 0 0:00 upstart—udev-bridgedaemon 1 439 439 439 439 ? -1 SK 0 0:00 udevddaemon 439 2095 439 439 ? -1 SK 0 0:00 _udevddaemon 1 657 657 657 ? -1 Ss 0 0:00 _udevddaemon 1 657 657 657 ? -1 Ss 0 0:00 _duevddaemon 1 657 657 657 ? -1 Ss 0 0:00 _duevddaemon 1 654 659 659 ? -1 Sl 101 0:00 rsyslogd -c4 1 657 657 675 ? -1 Ss 108 0:03 dbus-daemonsystemfork 729 745 745 745 745 ? -1 Ss 110 0:00 _avahi-daemon; chroot helper 1 731 731 731 731 ? -1 Ss 111 0:02 halddaemon; chroot helper 1 731 853 731 731 ? -1 S 111 0:02 halddaemon; chroot helper 1 731 853 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-refkill-kill 853 1045 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-leds 853 1045 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-generic-bac 853 1047 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-generic-bac 853 1057 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 853 100 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 853 100 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 853 100 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 853 100 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 853 100 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 853 100 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 853 101 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 853 101 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 853 1101 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 853 1101 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 853 1101 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 853 1101 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 853 1101 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 853 1101 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 853 1101 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 853 1101 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-repurreq 854 1100 731 731 ? -1 S 0 0:00 _usr/lib/hal/hald-addon-re	ı	PPID	PID	PGID	SID TTY	TPGID STAT	UID	TIME	COMMAND	^	
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1			_	_				-			
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439 2096 439 439 7 -1 5											
1 657 657 657 9							0	0:00	_ udevddaemon		
1 664 689 689 ?						-1 Ss	0				
729		1	664	659	659 ?	-1 Sl	101				
1 731 731 731 731 ?		1	675	675	675 ?	-1 Ss	108	0:03	dbus-daemonsystemfork		
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1361 1361 1361 1361 ?											
		helene:ce-2.6.31/arch/x86/ia32>									

Exercise:
work out
how to do
this on your
favorite Unix
or Linux
machine...



Fork in action

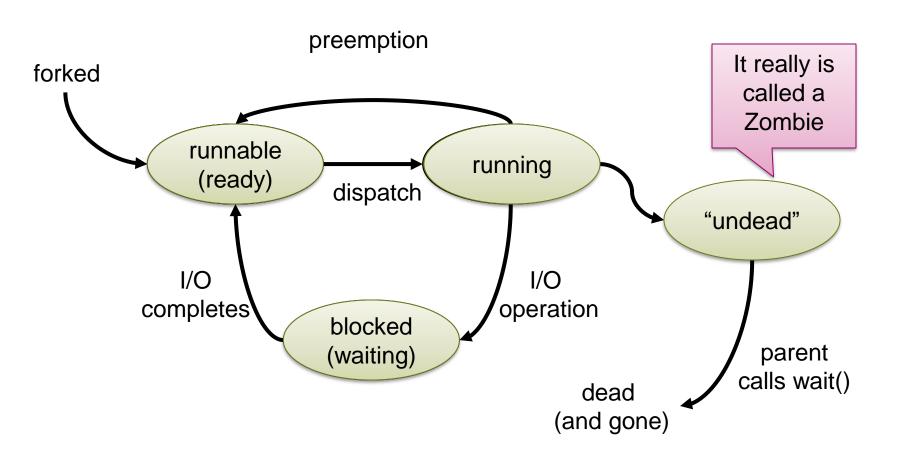
```
pid_t p = fork();
if (p < 0) {
   // Error...
  exit(-1);
} else if ( p == 0 ) {
   // We're in the child
  execlp("/bin/ls", "ls", NULL);
} else {
  // We're a parent.
   // p is the pid of the child
  wait(NULL);
  exit(0);
```

Return code from fork() tells you whether you're in the parent or child (cf. setjmp())

Child process can't actually be cleaned up until parent "waits" for it.



Process state diagram for Unix



Kernel Threads



How do threads fit in?

- It depends...
- Types of threads:
 - Kernel threads
 - One-to-one user-space threads
 - Many-to-one
 - Many-to-many
- Do NOT confuse this with hardware threads/SMT/Hyperthreading
 - In these, the CPU offers more physical resources for threads!

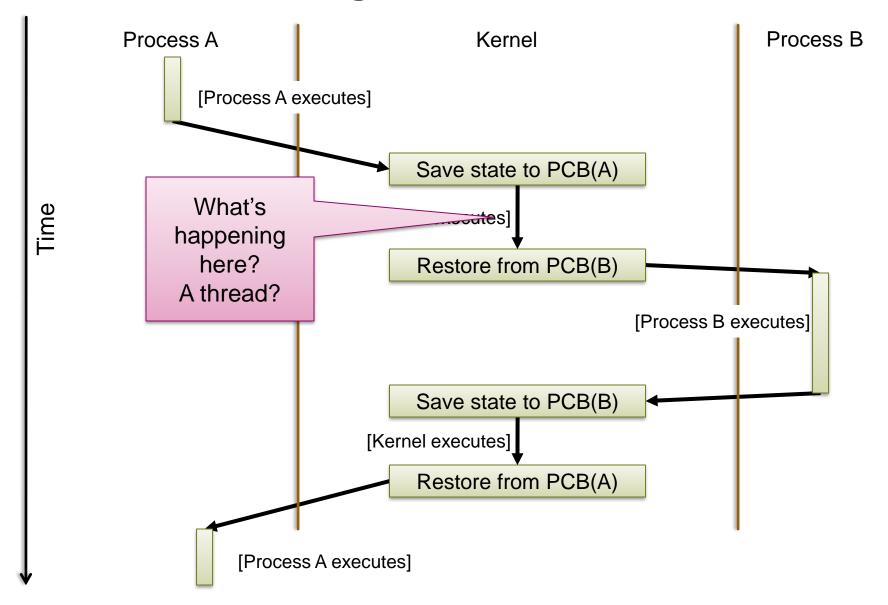


Kernel threads

- Kernels can (and some do) implement threads
- Multiple execution contexts inside the kernel
 - Much as in a JVM
- Says nothing about user space
 - Context switch still required to/from user process
- First, how many stacks are there in the kernel?



Process switching



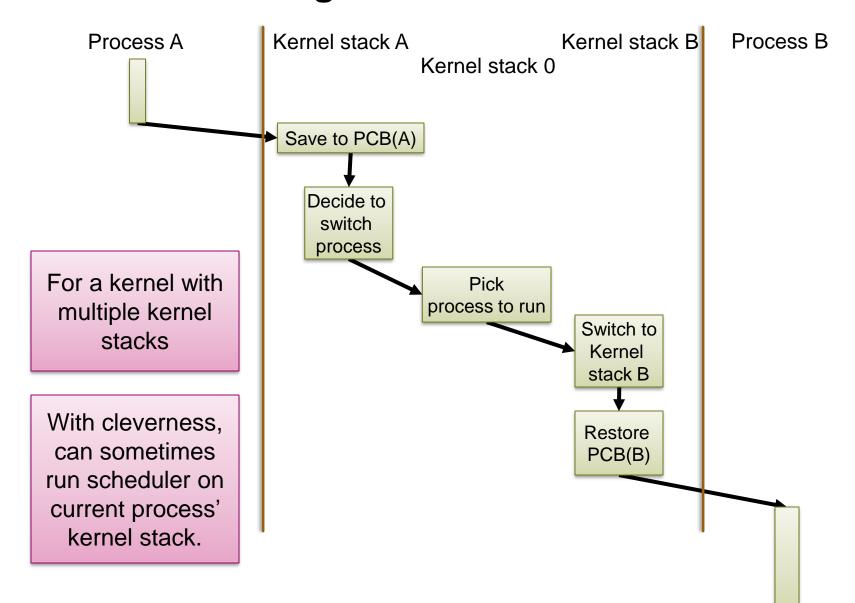


Kernel architecture

- Basic Question: How many kernel stacks?
- Unix 6th edition has a kernel stack per process
 - Arguably complicates design
 - Q. On which stack does the thread scheduler run?
 - A. On the first thread (#1)
 - ⇒ Every context switch is actually *two!*
 - Linux et al. replicate this, and try to optimize it.
- Others (e.g., Barrelfish) have only one kernel stack per CPU
 - Kernel must be purely event driven: no long-running kernel tasks
 - More efficient, less code, harder to program (some say).



Process switching revisited





System calls in more detail

- We can now say in more detail what happens during a system call
- Precise details are very dependent on OS and hardware
 - Linux has 3 different ways to do this for 32-bit x86 alone!
- Linux:
 - Good old int 0x80 or 0x2e (software interrupt, syscall number in EAX)
 Set up registers and call handler
 - Fast system calls (sysenter/sysexit, >Pentium II)
 CPU sets up registers automatically



Performing a system call

In user space:

- 1. Marshall the arguments somewhere safe
- 2. Saves registers
- 3. Loads system call number
- 4. Executes SYSCALL instruction (or SYSENTER, or INT 0x80, or..)
- 5. And?



System calls in the kernel

- Kernel entered at fixed address
 - Privileged mode is set
- Need to call the right function and return, so:
 - 1. Save user stack pointer and return address
 - In the Process Control Block
 - 2. Load SP for this process' *kernel* stack
 - 3. Create a C stack frame on the kernel stack
 - 4. Look up the syscall number in a jump table
 - 5. Call the function (e.g., read(), getpid(), open(), etc.)



Returning in the kernel

- When function returns:
 - 1. Load the user space stack pointer
 - 2. Adjust the return address to point to:

 Return path in user space back from the call, OR

 Loop to retry system call if necessary
 - 3. Execute "syscall return" instruction
- Result is execution back in user space, on user stack
- Alternatively, can do this to a different process...

User-space threads



From now on assume:

- Previous example was Unix 6th Edition:
 - Which had no threads per se, only processes
 - i.e., Process ↔ Kernel stack
- From now on, we'll assume:
 - Multiple kernel threads per CPU
 - Efficient kernel context switching
- How do we implement user-visible threads?



What are the options?

- 1. Implement threads within a process (one kernel thread)
- 2. Multiple kernel threads in a process
- 3. Some combination of the above
- and other more unusual cases we won't talk about...

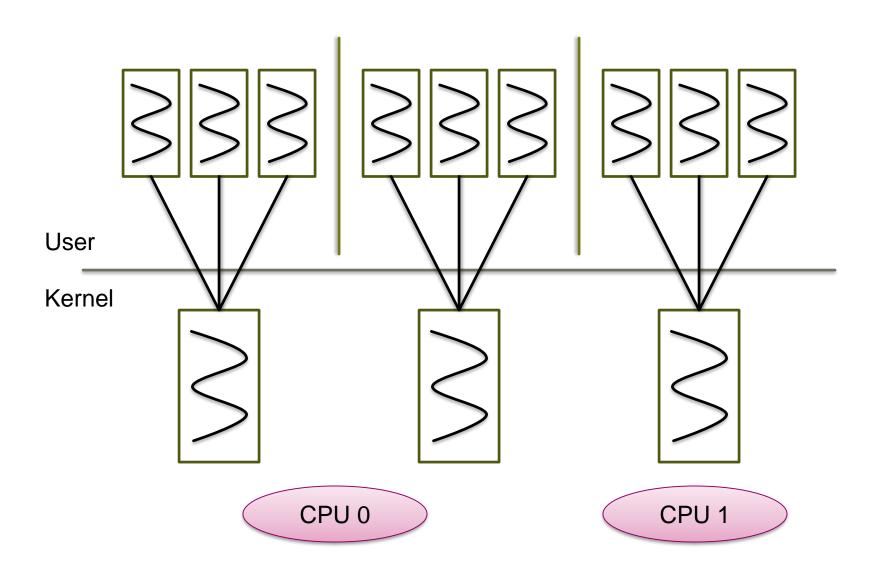


Many-to-one threads

- Early "thread libraries"
 - Green threads (original Java VM)
 - GNU Portable Threads
 - Standard student exercise: implement them!
- Sometimes called "pure user-level threads"
 - aka. lightweight threads, tasks (differences in control)
 - No kernel support required
 - Also (confusingly) "Lightweight Processes"

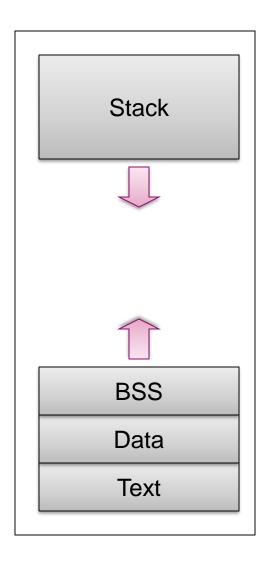


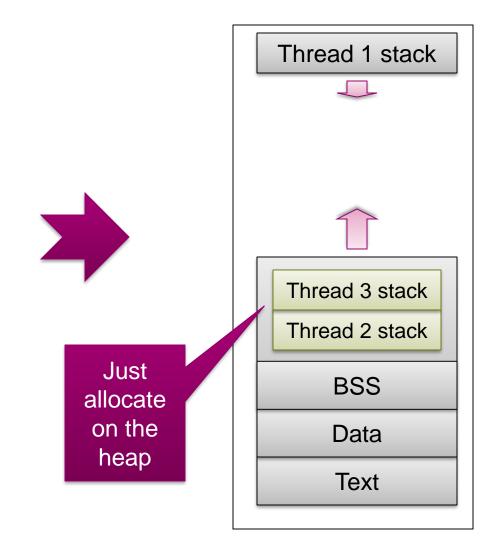
Many-to-one threads





Address space layout for user level threads





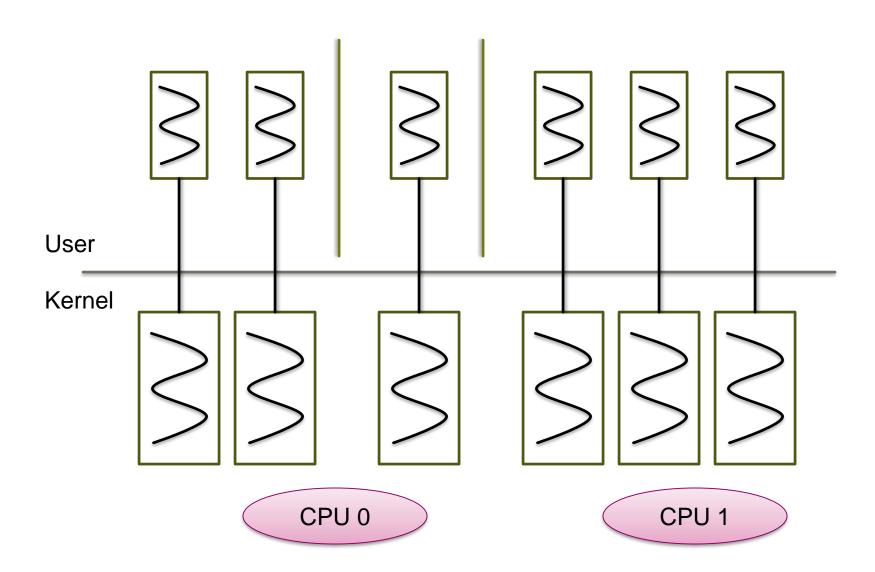


One-to-one user threads

- Every user thread is/has a kernel thread.
- Equivalent to:
 - multiple processes sharing an address space
 - Except that "process" now refers to a group of threads
- Most modern OS threads packages:
 - Linux, Solaris, Windows XP, MacOSX, etc.

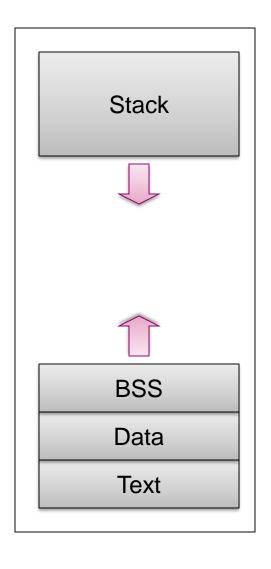


One-to-one user threads

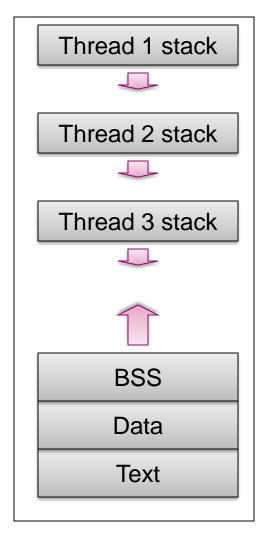




One-to-one user threads









Comparison

User-level threads

- Cheap to create and destroy
- Fast to context switch
- Can block entire process
 - Not just on system calls

One-to-one threads

- Memory usage (kernel stack)
- Slow to switch
- Easier to schedule
- Nicely handles blocking

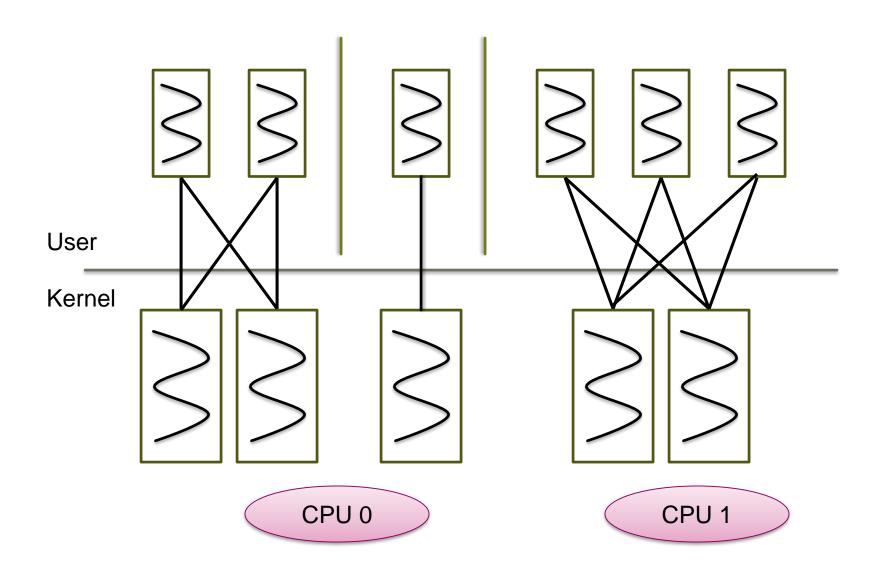


Many-to-many threads

- Multiplex user-level threads over several kernel-level threads
- Only way to go for a multiprocessor
 - I.e., pretty much everything these days
- Can "pin" user thread to kernel thread for performance/predictability
- Thread migration costs are "interesting"...



Many-to-many threads





Next week

- Synchronization:
 - How to implement those useful primitives
- Interprocess communication
 - How processes communicate
- Scheduling:
 - Now we can pick a new process/thread to run, how do we decide which one?