

## Operating Systems and Networks

### Network Lecture 5: Network Layer 1

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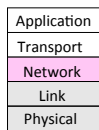
## Pending Issues

- Ethernet performance? See Section 4.3.3 in book. For reasonable parameters, ~85% efficiency.

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## Where we are in the Course

- Starting the Network Layer!
  - Builds on the link layer. Routers send packets over multiple networks



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## Why do we need a Network layer?

- We can already build networks with links and switches and send frames between hosts ...

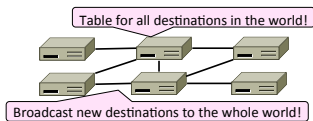


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## Shortcomings of Switches

1. Don't scale to large networks
  - Blow up of routing table, broadcast

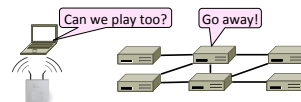


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## Shortcomings of Switches (2)

2. Don't work across more than one link layer technology
  - Hosts on Ethernet + 3G + 802.11 ...

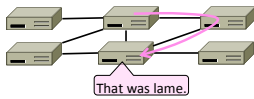


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### Shortcomings of Switches (3)

- 3. Don't give much traffic control
  - Want to plan routes / bandwidth



### Network Layer Approach

- **Scaling:**
  - Hierarchy, in the form of prefixes
- **Heterogeneity:**
  - IP for internetworking
- **Bandwidth Control:**
  - Lowest-cost routing
  - Later QOS (Quality of Service)

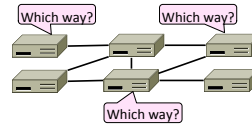
### Topics

- Network service models
  - Datagrams (packets), virtual circuits
- IP (Internet Protocol)
  - Internetworking
  - Forwarding (Longest Matching Prefix)
  - Helpers: ARP and DHCP
  - Fragmentation and MTU discovery
  - Errors: ICMP (traceroute!)
- IPv6, the future of IP
- NAT, a "middlebox"
- Routing algorithms

This time  
Next time

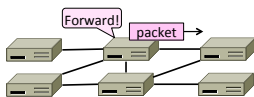
### Routing vs. Forwarding

- **Routing** is the process of deciding in which direction to send traffic
  - Network wide (global) and expensive



### Routing vs. Forwarding (2)

- **Forwarding** is the process of sending a packet on its way
  - Node process (local) and fast




### Our Plan

- **Forwarding** this time
  - What routers do with packets
- **Routing** next time
  - Logically this comes first
  - But ignore it for now

### Network Services (§5.1)



- What kind of service does the Network layer provide to the Transport layer?
  - How is it implemented at routers?

Service? What's he talking about?



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### Two Network Service Models

- Datagrams, or connectionless service
  - Like postal letters
  - (This one is IP) 
- Virtual circuits, or connection-oriented service
  - Like a telephone call 

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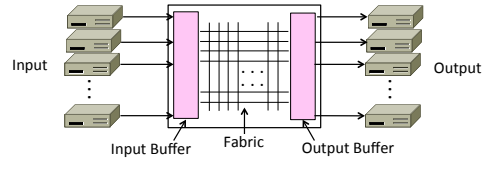
### Store-and-Forward Packet Switching

- Both models are implemented with store-and-forward packet switching
  - Routers receive a complete packet, storing it temporarily if necessary before forwarding it onwards
  - We use statistical multiplexing to share link bandwidth over time

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### Store-and-Forward (2)

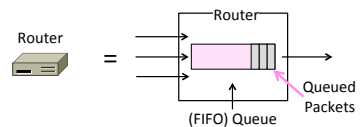
- Switching element has internal buffering for contention



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### Store-and-Forward (3)

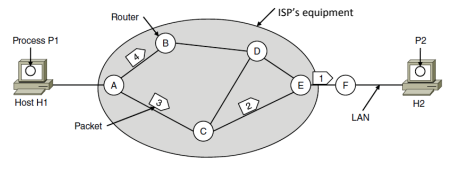
- Simplified view with per-port output buffering
  - Buffer is typically a FIFO (First In First Out) queue
  - If full, packets are discarded (congestion, later)



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### Datagram Model

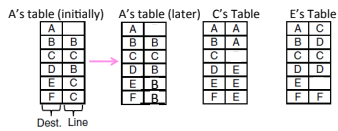
- Packets contain a destination address; each router uses it to forward each packet, possibly on different paths



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### Datagram Model (2)

- Each router has a forwarding table keyed by address
  - Gives next hop for each destination address; may change

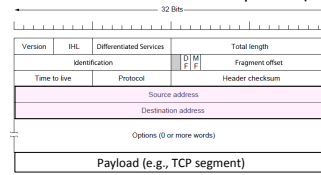


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### IP (Internet Protocol)

- Network layer of the Internet, uses datagrams (next)
  - IPv4 carries 32 bit addresses on each packet (often 1.5 KB)



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### Virtual Circuit Model

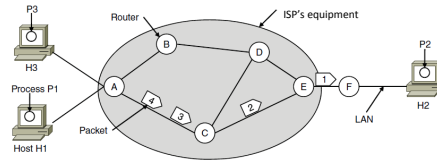
- Three phases:
  - Connection establishment, circuit is set up
    - Path is chosen, circuit information stored in routers
  - Data transfer, circuit is used
    - Packets are forwarded along the path
  - Connection teardown, circuit is deleted
    - Circuit information is removed from routers
- Just like a telephone circuit, but virtual in the sense that no bandwidth need be reserved; statistical sharing of links

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### Virtual Circuits (2)

- Packets only contain a short label to identify the circuit
  - Labels don't have any global meaning, only unique for a link

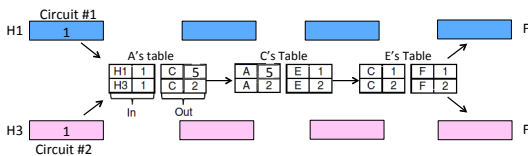


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### Virtual Circuits (3)

- Each router has a forwarding table keyed by circuit
  - Gives output line and next label to place on packet

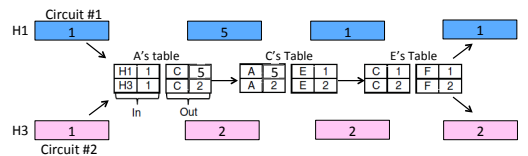


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### Virtual Circuits (4)

- Each router has a forwarding table keyed by circuit
  - Gives output line and next label to place on packet

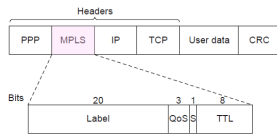


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### MPLS (Multi-Protocol Label Switching, §5.6.5)

- A virtual-circuit like technology widely used by ISPs
  - ISP sets up circuits inside their backbone ahead of time
  - ISP adds MPLS label to IP packet at ingress, undoes at egress



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### Datagrams vs Virtual Circuits

- Complementary strengths

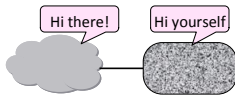
| Issue              | Datagrams                   | Virtual Circuits           |
|--------------------|-----------------------------|----------------------------|
| Setup phase        | Not needed                  | Required                   |
| Router state       | Per destination             | Per connection             |
| Addresses          | Packet carries full address | Packet carries short label |
| Routing            | Per packet                  | Per circuit                |
| Failures           | Easier to mask              | Difficult to mask          |
| Quality of service | Difficult to add            | Easier to add              |

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### Internetworking (§5.5, 5.6.1)

- How do we connect different networks together?
  - This is called internetworking
  - We'll look at how IP does it



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### How Networks May Differ

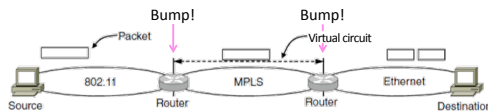
- Basically, in a lot of ways:
  - Service model (datagrams, VCs)
  - Addressing (what kind)
  - QOS (priorities, no priorities)
  - Packet sizes
  - Security (whether encrypted)
- Internetworking hides the differences with a common protocol. (Uh oh.)

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### Connecting Datagram and VC networks

- An example to show that it's not so easy
  - Need to map destination address to a VC and vice-versa
  - A bit of a "road bump", e.g., might have to set up a VC



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### Internetworking – Cerf and Kahn

- Pioneered by Cerf and Kahn, the "fathers of the Internet"
  - In 1974, later led to TCP/IP
- Tackled the problems of interconnecting networks
  - Instead of mandating a single network technology



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### Internet Reference Model

- IP is the “narrow waist” of the Internet
  - Supports many different links below and apps above

7. Application: SMTP, HTTP, RTP, DNS  
 4. Transport: TCP, UDP  
 3. Internet: IP  
 2/1. Link: Ethernet, Cable, 3G, DSL, 802.11

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### IP as a Lowest Common Denominator

- Suppose only some networks support QOS or security etc.
  - Difficult for internetwork to support
- Pushes IP to be a “lowest common denominator” protocol
  - Asks little of lower-layer networks
  - Gives little as a higher layer service

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### IPv4 (Internet Protocol)

- Various fields to meet straightforward needs
  - Version, Header (IHL) and Total length, Protocol, and Header Checksum

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### IPv4 (2)

- Network layer of the Internet, uses datagrams
  - Provides a layer of addressing above link addresses (next)

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### IPv4 (3)

- Some fields to handle packet size differences (later)
  - Identification, Fragment offset, Fragment control bits

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### IPv4 (4)

- Other fields to meet other needs (later, later)
  - Differentiated Services, Time to live (TTL)

Later, with QOS →

Later, with ICMP →

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### IP Prefixes (§5.6.1-5.6.2)

- What do IP addresses look like?
  - And IP prefixes, or blocks of addresses
  - (This is IPv4; we'll cover IPv6 later.)

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### IP Addresses

- IPv4 uses 32-bit addresses
  - Later we'll see IPv6, which uses 128-bit addresses
- Written in "dotted quad" notation
  - Four 8-bit numbers separated by dots

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### IP Prefixes – Modern

- Addresses are allocated in blocks called prefixes
  - Addresses in an L-bit prefix have the same top L bits
  - There are  $2^{32-L}$  addresses aligned on  $2^{32-L}$  boundary

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### IP Prefixes (2)

- Written in "IP address/length" notation
  - Address is lowest address in the prefix, length is prefix bits
  - E.g., 128.13.0.0/16 is 128.13.0.0 to 128.13.255.255
  - So a /24 ("slash 24") is 256 addresses, and a /32 is one address

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### IP Prefixes (3)

- More specific prefix
  - Has longer prefix, hence a smaller number of IP addresses
- Less specific prefix
  - Has shorter prefix, hence a larger number of IP addresses

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### IP Address Classes – Historical

- Originally, IP addresses came in fixed size blocks with the class/size encoded in the high-order bits
  - They still do, but the classes are now ignored

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### Public / Private IP Addresses

- Public IP addresses, e.g., 18.31.0.1
  - Valid destination on the global Internet
  - Must be allocated to you before use
  - Now exhausted ... time for IPv6!
- Private IP addresses
  - Can be used freely within private networks (home, small company)
  - 10.0.0.0/8, 172.16.0.0/12, 192.168.0.0/16
  - Need public IP address(es) and NAT to connect to global Internet

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### Allocating Public IP Addresses

- Follows a hierarchical process
  - IANA delegates to regional bodies (RIRs)
  - RIRs delegate to companies in their region
  - Companies assign to their customers/computers (later, DHCP)

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### IP Forwarding (§5.6.1-5.6.2)

- How do routers forward packets?
  - We'll look at how IP does it
  - (We'll cover routing later)

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### Recap

- We want the network layer to:
  - Scale to large networks
    - Using addresses with hierarchy } This lecture
  - Support diverse technologies
    - Internetworking with IP } More later
  - Use link bandwidth well
    - Lowest-cost routing } Next time

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### IP Forwarding

- IP addresses on one network belong to the same prefix
- Node uses a table that lists the next hop for IP prefixes

| Prefix         | Next Hop |
|----------------|----------|
| 192.24.0.0/18  | D        |
| 192.24.12.0/22 | B        |

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### Longest Matching Prefix

- Prefixes in the table might overlap!
  - Combines hierarchy with flexibility
- Longest matching prefix forwarding rule:
  - For each packet, find the longest prefix that contains the destination address, i.e., the most specific entry
  - Forward the packet to the next hop router for that prefix

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### Longest Matching Prefix (2)

| Prefix         | Next Hop |
|----------------|----------|
| 192.24.0.0/18  | D        |
| 192.24.12.0/22 | B        |

192.24.6.0 →  
 192.24.14.32 →  
 192.24.54.0 →

IP address

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### Host/Router Distinction

- In the Internet:
  - Routers do the routing, know which way to all destinations
  - Hosts send remote traffic (out of prefix) to nearest router

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### Host Forwarding Table

- Give using longest matching prefix
  - 0.0.0.0/0 is a default route that catches all IP addresses

| Prefix            | Next Hop               |
|-------------------|------------------------|
| My network prefix | Send direct to that IP |
| 0.0.0.0/0         | Send to my router      |

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### Flexibility of Longest Matching Prefix

- Can provide default behavior, with less specific prefixes
  - To send traffic going outside an organization to a border router
- Can special case behavior, with more specific prefixes
  - For performance, economics, security, ...

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### Performance of Longest Matching Prefix

- Uses hierarchy for a compact table
  - Benefits from less specific prefixes
- Lookup more complex than table
  - Was a concern for fast routers, but not an issue in practice these days

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### Other Aspects of Forwarding

- It's not all about addresses ...

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### Other Aspects (2)

- Decrement TTL value
  - Protects against loops
- Checks header checksum
  - To add reliability
- Fragment large packets
  - Split to fit it on next link
- Send congestion signals
  - Warns hosts of congestion
- Generates error messages
  - To help manage network
- Handle various options

} Coming later

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### Helping IP with ARP, DHCP (§5.6.4)

- Filling in the gaps we need to make for IP forwarding work in practice
  - Getting IP addresses (DHCP)
  - Mapping IP to link addresses (ARP)

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### Getting IP Addresses

- Problem:
  - A node wakes up for the first time ...
  - What is its IP address? What's the IP address of its router? Etc.
  - At least Ethernet address is on NIC

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### Getting IP Addresses (2)

1. Manual configuration (old days)
  - Can't be factory set, depends on use
2. A protocol for automatically configuring addresses (DHCP)
  - Shifts burden from users to IT folks

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### DHCP

- DHCP (Dynamic Host Configuration Protocol), from 1993, widely used
- It leases IP address to nodes
- Provides other parameters too
  - Network prefix
  - Address of local router
  - DNS server, time server, etc.

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### DHCP Protocol Stack

- DHCP is a client-server application
  - Uses UDP ports 67, 68

|          |
|----------|
| DHCP     |
| UDP      |
| IP       |
| Ethernet |

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### DHCP Addressing

- Bootstrap issue:
  - How does node send a message to DHCP server before it is configured?
- Answer:
  - Node sends broadcast messages that delivered to all nodes on the network
  - Broadcast address is all 1s
  - IP (32 bit): 255.255.255.255
  - Ethernet (48 bit): ff:ff:ff:ff:ff:ff

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### DHCP Messages

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### DHCP Messages (2)

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### DHCP Messages (3)

- To renew an existing lease, an abbreviated sequence is used:
  - REQUEST, followed by ACK
- Protocol also supports replicated servers for reliability

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### Sending an IP Packet

- Problem:
  - A node needs Link layer addresses to send a frame over the local link
  - How does it get the destination link address from a destination IP address?

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### ARP (Address Resolution Protocol)

- Node uses to map a local IP address to its Link layer addresses

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### ARP Protocol Stack

- ARP sits right on top of link layer
  - No servers, just asks node with target IP to identify itself
  - Uses broadcast to reach all nodes

|          |
|----------|
| ARP      |
| Ethernet |

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### ARP Messages

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### ARP Messages (2)

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### Discovery Protocols

- Help nodes find each other
  - There are more of them!
    - E.g., zeroconf, Bonjour
- Often involve broadcast
  - Since nodes aren't introduced
  - Very handy glue

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### Packet Fragmentation (§5.5.5)

- How do we connect networks with different maximum packet sizes?
  - Need to split up packets, or discover the largest size to use

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### Packet Size Problem

- Different networks have different maximum packet sizes or MTUs
  - MTU = Maximum Transmission Unit
  - E.g., Ethernet 1.5K, WiFi 2.3K
- Prefer large packets for efficiency
  - But what size is too large?
  - Difficult because node does not know complete network path

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### Packet Size Solutions

- Fragmentation (now)
  - Split up large packets in the network if they are too big to send
  - Classic method, but dated
- Discovery (next)
  - Find the largest packet that fits on the network path and use it
  - IP uses today instead of fragmentation

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### IPv4 Fragmentation

- Routers fragment packets that are too large to forward
- Receiving host reassembles to reduce load on routers

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### IPv4 Fragmentation Fields

- Header fields used to handle packet size differences
  - Identification, Fragment offset, MF/DF control bits

|                             |                 |                         |              |
|-----------------------------|-----------------|-------------------------|--------------|
| 32 bits                     |                 |                         |              |
| Version                     | IHL             | Differentiated Services | Total length |
| Identification              | Fragment offset | MF                      | DF           |
| Time to live                | Protocol        | Header checksum         |              |
| Source address              |                 |                         |              |
| Destination address         |                 |                         |              |
| Options (0 or more words)   |                 |                         |              |
| Payload (e.g., TCP segment) |                 |                         |              |

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### IPv4 Fragmentation Procedure

- Routers split a packet that is too large:
  - Typically break into large pieces
  - Copy IP header to pieces
  - Adjust length on pieces
  - Set offset to indicate position
  - Set MF (More Fragments) on all pieces except last
- Receiving hosts reassembles pieces:
  - Identification field links pieces together, MF tells receiver when it has all pieces

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### IPv4 Fragmentation (2)

Before  
MTU = 2300

ID = 0x12ef  
Data Len = 2300  
Offset = 0  
MF = 0

(Ignore length of headers)

After  
MTU = 1500

ID =  
Data Len =  
Offset =  
MF =

ID =  
Data Len =  
Offset =  
MF =

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### IPv4 Fragmentation (3)

Before  
MTU = 2300

ID = 0x12ef  
Data Len = 2300  
Offset = 0  
MF = 0

After  
MTU = 1500

ID = 0x12ef  
Data Len = 1500  
Offset = 0  
MF = 1

ID = 0x12ef  
Data Len = 800  
Offset = 1500  
MF = 0

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### IPv4 Fragmentation (4)

- It works!
  - Allows repeated fragmentation
- But fragmentation is undesirable
  - More work for routers, hosts
  - Tends to magnify loss rate
  - Security vulnerabilities too

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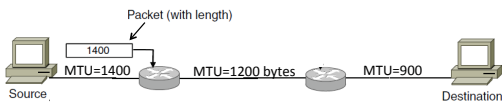
### Path MTU Discovery

- Discover the MTU that will fit
  - So we can avoid fragmentation
  - The method in use today
- Host tests path with large packet
  - Routers provide feedback if too large; they tell host what size would have fit

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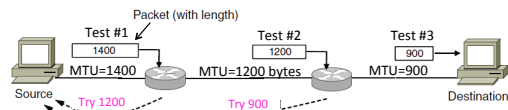
### Path MTU Discovery (2)



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### Path MTU Discovery (3)



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### Path MTU Discovery (4)

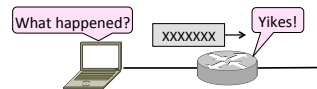
- Process may seem involved
  - But usually quick to find right size
- Path MTU depends on the path, so can change over time
  - Search is ongoing
- Implemented with ICMP (next)
  - Set DF (Don't Fragment) bit in IP header to get feedback messages

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### Error Handling with ICMP (§5.6.4)

- What happens when something goes wrong during forwarding?
  - Need to be able to find the problem



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## Internet Control Message Protocol

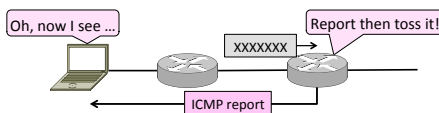
- ICMP is a companion protocol to IP
  - They are implemented together
  - Sits on top of IP (IP Protocol=1)
- Provides error report and testing
  - Error is at router while forwarding
  - Also testing that hosts can use

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## ICMP Errors

- When router encounters an error while forwarding:
  - It sends an ICMP error report back to the IP source address
  - It discards the problematic packet; host needs to rectify



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## ICMP Message Format

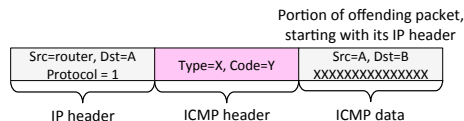
- Each ICMP message has a Type, Code, and Checksum
- Often carry the start of the offending packet as payload
- Each message is carried in an IP packet

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## ICMP Message Format (2)

- Each ICMP message has a Type, Code, and Checksum
- Often carry the start of the offending packet as payload
- Each message is carried in an IP packet



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## Example ICMP Messages

| Name                            | Type / Code | Usage                |
|---------------------------------|-------------|----------------------|
| Dest. Unreachable (Net or Host) | 3 / 0 or 1  | Lack of connectivity |
| Dest. Unreachable (Fragment)    | 3 / 4       | Path MTU Discovery   |
| Time Exceeded (Transit)         | 11 / 0      | Traceroute           |
| Echo Request or Reply           | 8 or 0 / 0  | Ping                 |

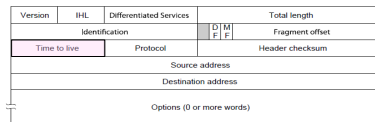
Testing, not a forwarding error: Host sends Echo Request, and destination responds with an Echo Reply

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## Traceroute

- IP header contains TTL (Time to live) field
  - Decremented every router hop, with ICMP error if it hits zero
  - Protects against forwarding loops



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### Traceroute (2)

- Traceroute repurposes TTL and ICMP functionality
  - Sends probe packets increasing TTL starting from 1
  - ICMP errors identify routers on the path

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### IP Version 6 (§5.6.3)

- IP version 6, the future of IPv4 that is now (still) being deployed

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### Internet Growth

Internet Domain Survey Host Count

- At least a billion Internet hosts and growing ...
- And we're using 32-bit addresses!

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### The End of New IPv4 Addresses

- Now running on leftover blocks held by the regional registries; much tighter allocation policies

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### IP Version 6 to the Rescue

- Effort started by the IETF in 1994
  - Much larger addresses (128 bits)
  - Many sundry improvements
- Became an IETF standard in 1998
  - Nothing much happened for a decade
  - Hampered by deployment issues, and a lack of adoption incentives
  - Big push ~2011 as exhaustion looms

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### IPv6 Deployment

Percentage of users accessing Google via IPv6

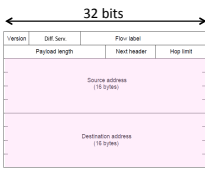
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### IPv6

- Features large addresses
  - 128 bits, most of header
- New notation
  - 8 groups of 4 hex digits (16 bits)
  - Omit leading zeros, groups of zeros

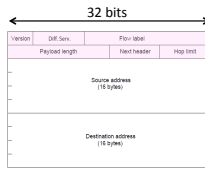
Ex: 2001:0db8:0000:0000:0000:ff00:0042:8329  
→



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### IPv6 (2)

- Lots of other, smaller changes
  - Streamlined header processing
  - Flow label to group of packets
  - Better fit with “advanced” features (mobility, multicasting, security)



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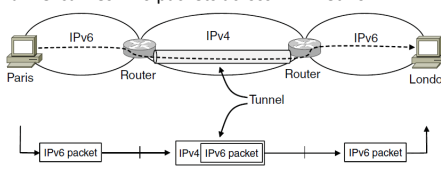
### IPv6 Transition

- The Big Problem:
  - How to deploy IPv6?
  - Fundamentally incompatible with IPv4
- Dozens of approaches proposed
  - Dual stack (speak IPv4 and IPv6)
  - Translators (convert packets)
  - Tunnels (carry IPv6 over IPv4)

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### Tunneling

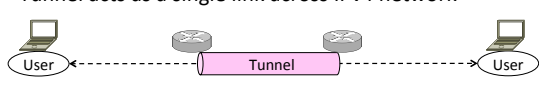
- Native IPv6 islands connected via IPv4
  - Tunnel carries IPv6 packets across IPv4 network



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### Tunneling (2)

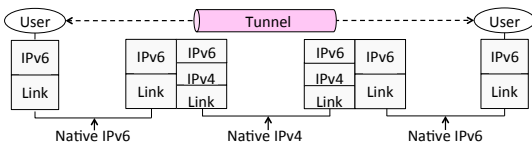
- Tunnel acts as a single link across IPv4 network



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### Tunneling (3)

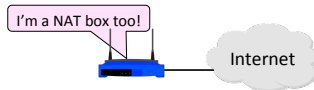
- Tunnel acts as a single link across IPv4 network
  - Difficulty is to set up tunnel endpoints and routing



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## Network Address Translation (§5.6.2)

- What is NAT (Network Address Translation)? How does it work?
  - NAT is widely used at the edges of the network, e.g., homes

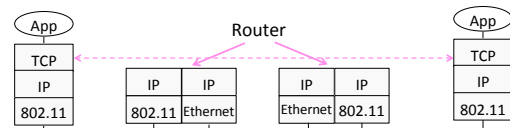


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## Layering Review

- Remember how layering is meant to work?
  - “Routers don’t look beyond the IP header.” Well ...

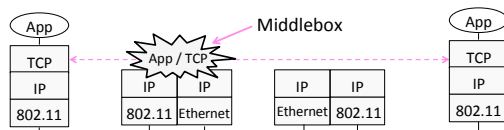


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## Middleboxes

- Sit “inside the network” but perform “more than IP” processing on packets to add new functionality
  - NAT box, Firewall / Intrusion Detection System



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## Middleboxes (2)

- Advantages
  - A possible rapid deployment path when there is no other option
  - Control over many hosts (IT)
- Disadvantages
  - Breaking layering interferes with connectivity; strange side effects
  - Poor vantage point for many tasks

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## NAT (Network Address Translation) Box

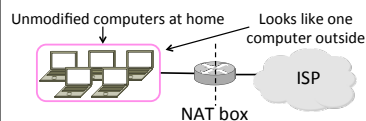
- NAT box connects an internal network to an external network
  - Many internal hosts are connected using few external addresses
  - Middlebox that “translates addresses”
- Motivated by IP address scarcity
  - Controversial at first, now accepted

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## NAT (2)

- Common scenario:
  - Home computers use “private” IP addresses
  - NAT (in AP/firewall) connects home to ISP using a single external IP address



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### How NAT Works

- Keeps an internal/external table
  - Typically uses IP address + TCP port
  - This is address and port translation

| What host thinks    |                    | What ISP thinks  |                    |
|---------------------|--------------------|------------------|--------------------|
| Internal IP:port    | External IP : port | Internal IP:port | External IP : port |
| 192.168.1.12 : 5523 | 44.25.80.3 : 1500  |                  |                    |
| 192.168.1.13 : 1234 | 44.25.80.3 : 1501  |                  |                    |
| 192.168.2.20 : 1234 | 44.25.80.3 : 1502  |                  |                    |

- Need ports to make mapping 1-1 since there are fewer external IPs

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### How NAT Works (2)

- Internal → External:
  - Look up and rewrite Source IP/port

| Internal IP:port    | External IP : port |
|---------------------|--------------------|
| 192.168.1.12 : 5523 | 44.25.80.3 : 1500  |

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### How NAT Works (3)

- External → Internal
  - Look up and rewrite Destination IP/port

| Internal IP:port    | External IP : port |
|---------------------|--------------------|
| 192.168.1.12 : 5523 | 44.25.80.3 : 1500  |

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### How NAT Works (4)

- Need to enter translations in the table for it to work
  - Create external name when host makes a TCP connection

| Internal IP:port    | External IP : port |
|---------------------|--------------------|
| 192.168.1.12 : 5523 | 44.25.80.3 : 1500  |

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### NAT Downsides

- Connectivity has been broken!
  - Can only send incoming packets after an outgoing connection is set up
  - Difficult to run servers or peer-to-peer apps (Skype) at home
- Doesn't work so well when there are no connections (UDP apps)
- Breaks apps that unwisely expose their IP addresses (FTP)

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### NAT Upsides

- Relieves much IP address pressure
  - Many home hosts behind NATs
- Easy to deploy
  - Rapidly, and by you alone
- Useful functionality
  - Firewall, helps with privacy
- Kinks will get worked out eventually
  - "NAT Traversal" for incoming traffic

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